



# KLD Compliance

*Celebrating 20 Years of Innovation in Socially Responsible Investing*

## Overview

### Universe

Global coverage on controversial business issues and largest 3000 US publicly traded companies for ESG factors

### Update Frequency

Daily updates by analysts  
Published twice monthly to SOCRATES database

### Delivery Protocol

FTP

### Delivery Frequency

First business day of the month

### Delivery Format

Files delivered as .xls, .csv, pipe-delimited or.txt tailored to system integration requirements

### Systems

Successful implementation with all major technology platforms including Checkfree, Advent, Latent Zero, Vestmark, and MacGregor

## Learn More

To learn more, please contact KLD Sales at (617) 426-5270 or [sales@kld.com](mailto:sales@kld.com)

## About KLD Compliance

KLD Compliance is the leading investment screening tool for environmental, social and governance (ESG) factors, a market of over US \$2.71 trillion in 2007 according to the Social Investment Forum.

## Product Features

KLD Compliance is designed for integration into portfolio management, trading and compliance systems. KLD's research is matched to the system security master and coded to appropriate securities. KLD protocols are compatible with all leading vendors.

### Controversial Business Issue Screens

Abortion	Contraceptives	Military
Adult Entertainment	Firearms	Nuclear Power
Alcohol	Gambling	Tobacco

### Social Issue Screens

Diversity	Human Rights	Marketing
Employee Safety	Investment Controversies	Predatory Lending
Environment	Labor	Product Safety

### Premium Screens

Animal Welfare	Islamic	Iran
Embryonic Stem Cell	Pork Production	Sudan

## About KLD Research & Analytics, Inc.

KLD Research & Analytics, Inc. is the leading authority on social research and indexes for institutional investors.

Today more than 400 clients worldwide use KLD products and services to integrate environmental, social and governance (ESG) and faith-based criteria into their investment decisions.

KLD Research & Analytics is trusted by the world's three largest money managers and five of the top 10 Separately Managed Accounts (SMA) programs in the US.